



# Phase Contrast Imaging - Coherent Beams

School on X-ray Imaging Techniques at the ESRF

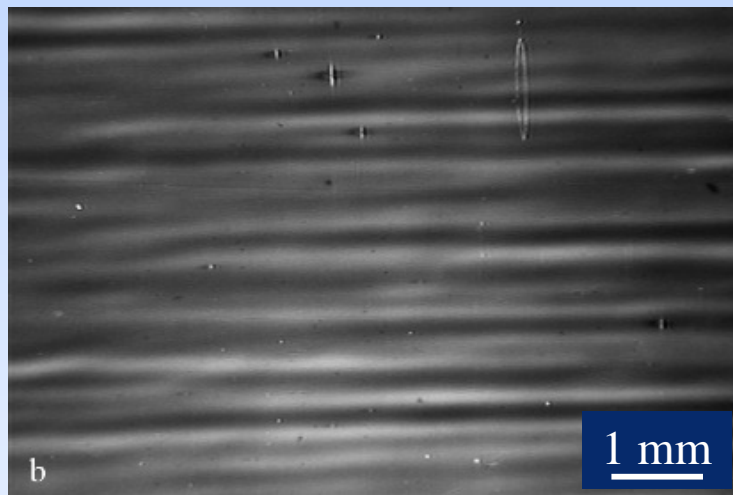
P. Cloetens

*ESRF, Grenoble, France*

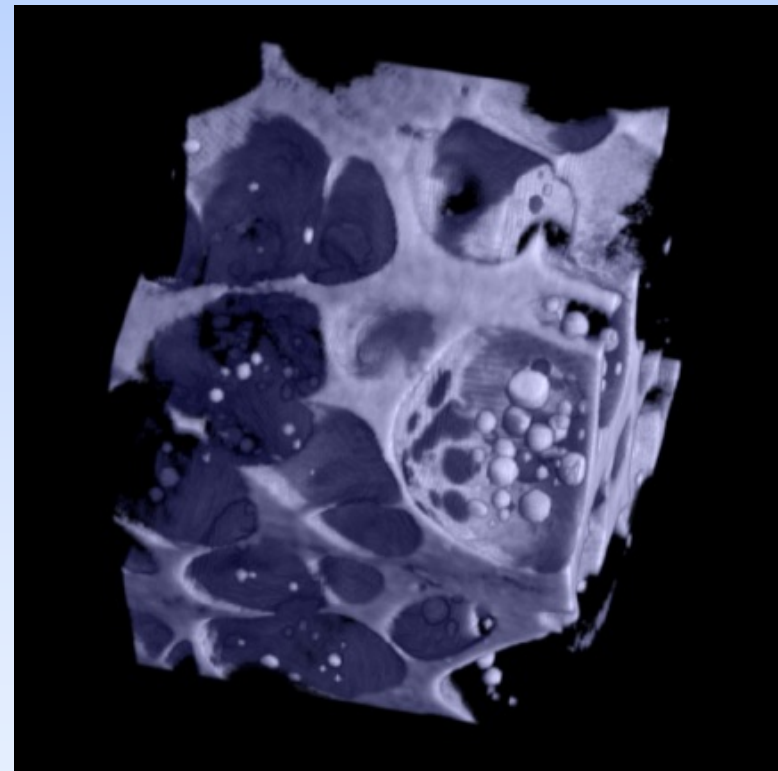
*cloetens@esrf.fr*

February 5-6, 2007

# From artefacts to 3D phase contrast imaging



Unpolished beryllium window



Rendering of a semi-solid

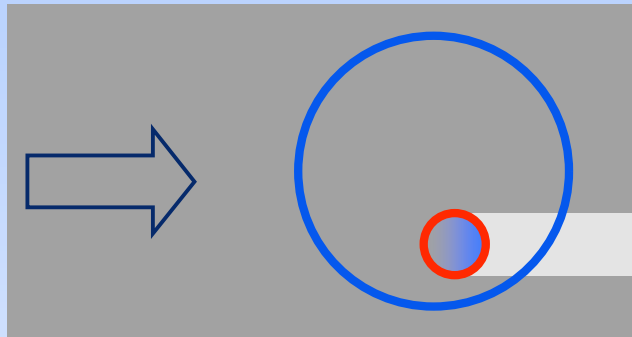
# Outline

- Interest of phase contrast imaging
- Phase imaging techniques
- Spatial coherence
- Fresnel diffraction  $\Leftrightarrow$  direct problem
- Edge enhancement
- Phase retrieval  
Holotomography  $\Leftrightarrow$  inverse problem

# Phase Contrast vs Absorption

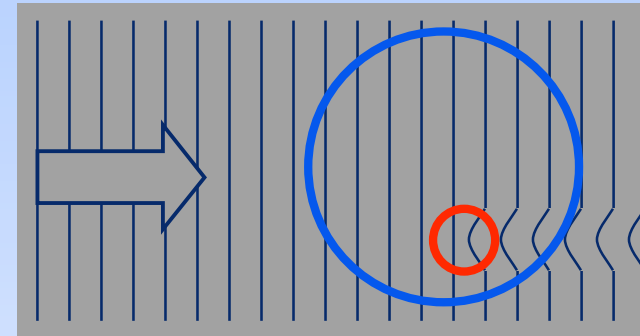
## Simple transmission

### Absorption



Sample

### Phase



Sample

- Dream 1: **Zero Dose**

Increase the energy

Absorption contrast ↓

replaced by phase contrast

- Dream 2: Improve the **Sensitivity**

Absorption contrast too low

high spatial resolution

light materials

similar attenuation

# Absorption vs Phase

- Weak interaction with matter
- Refractive index  $n$  (X-rays):

$$n = 1 - \delta + i \beta$$

$$\delta \gg \beta$$

$$10^{-6} \quad 10^{-9}$$

$\beta$  – Absorption index

- photo-electric effect  
Compton scattering
- strong energy dependence
- $\beta = (\lambda / 4\pi) \cdot \mu$   
linear attenuation coefficient  $\mu$

$$= \frac{r_c \lambda^2}{2\pi V} \sum f_p''$$

$\delta$  – Refractive index decrement

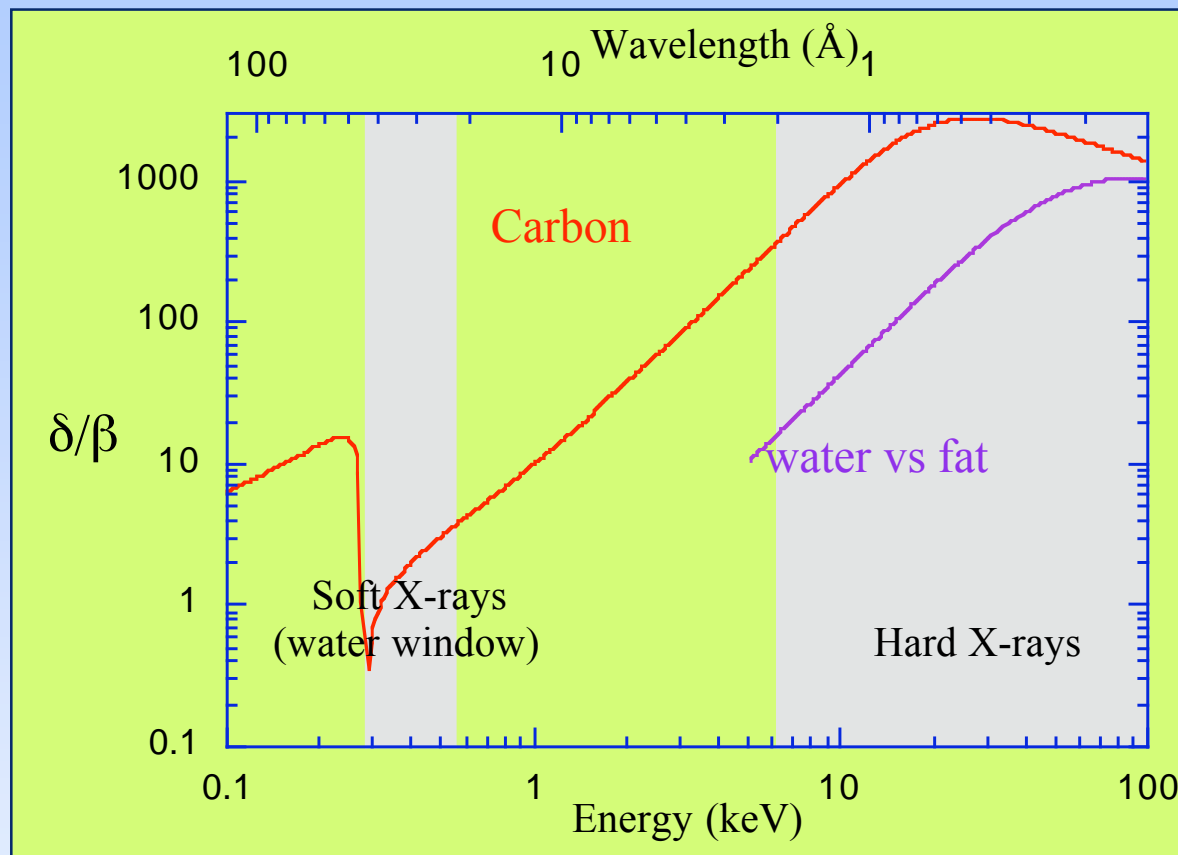
- proportional to  
electron density
- inversely proportional to  
energy<sup>2</sup>

$$\delta = \frac{r_c \lambda^2}{2\pi V} \sum (Z_p + f_p')$$

$$\approx 1.3 \cdot 10^{-6} \rho \lambda^2$$

$\rho$  in g/cm<sup>3</sup>,  $\lambda$  in Å

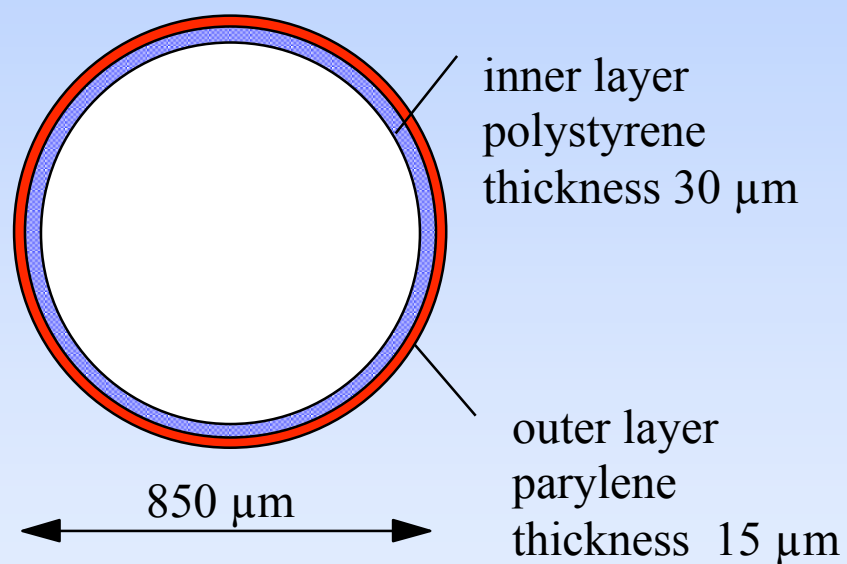
# Phase vs Absorption



Gain of 100 or 1000 !

# Absorption vs Phase

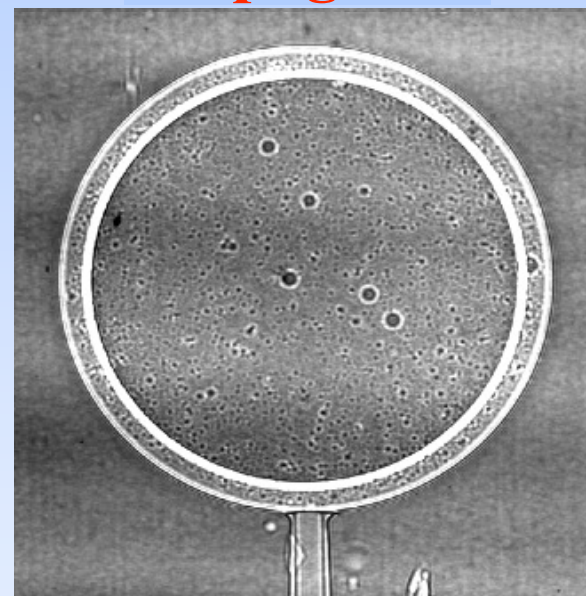
## Polymer sphere with two layers



$$\lambda = 0.7 \text{ \AA}$$

200  $\mu\text{m}$

## Propagation



D = 83 cm

# Transmission through a sample

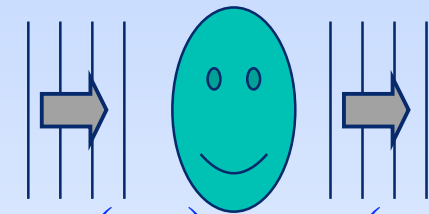


refractive index  $n = 1 - \delta + i\beta$

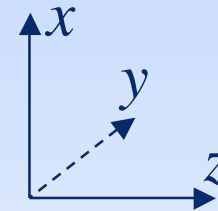
$$e^{ik_{\text{medium}}\Delta z} = e^{i\frac{2\pi}{\lambda} n \Delta z} = e^{i\frac{2\pi}{\lambda} \Delta z} \cdot e^{-i\frac{2\pi}{\lambda} \delta \Delta z} \cdot e^{-\frac{2\pi}{\lambda} \beta \Delta z}$$

## Transmission function

projection of the refractive index distribution



$$u_0(x,y) = T(x,y) \cdot u_{\text{inc}}(x,y)$$



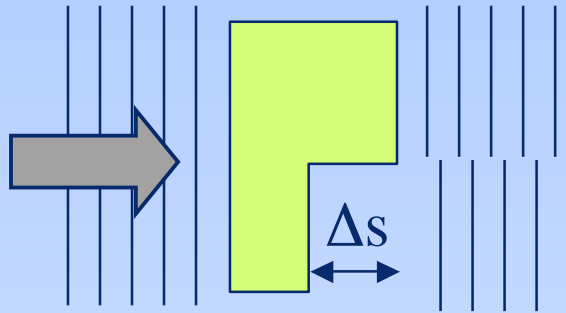
**Amplitude**  $A(x,y) = e^{-\frac{2\pi}{\lambda} \int \beta(x,y,z) dz} = e^{-\frac{1}{2} \int \mu dz}$

$$T(x,y) = A(x,y) \cdot e^{i\varphi(x,y)}$$

**Phase**  $\varphi(x,y) = \varphi_o - \frac{2\pi}{\lambda} \int \delta(x,y,z) dz$

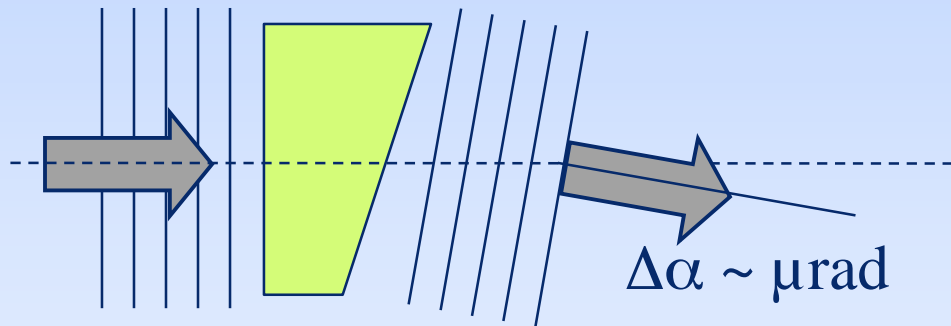


# Phase Sensitive Techniques



Phase Retardation

$$\Delta\varphi = -\frac{2\pi}{\lambda} \delta \cdot \Delta s$$



Deflection



Phase gradients

$$\Delta\alpha = -\frac{\lambda}{2\pi} \frac{\partial\varphi}{\partial x}$$

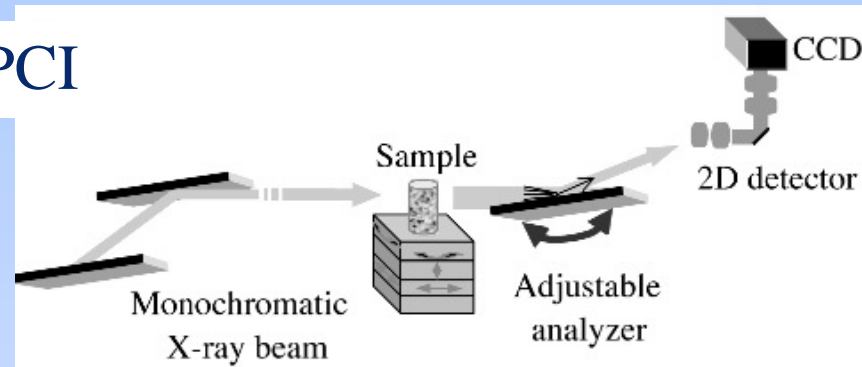
At zero distance:

$$\text{Intensity } I_0 = |u_0|^2 = I_{\text{inc}} \cdot \exp\left(-\int \mu dz\right)$$

$\Rightarrow$  all phase information is lost

# Phase Contrast Imaging methods

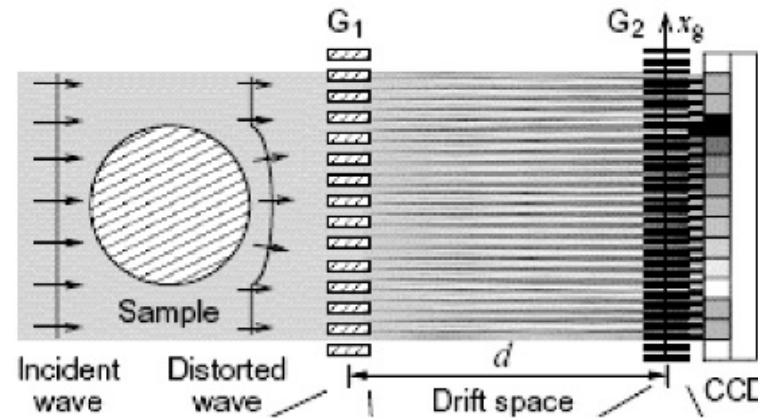
## Analyzer-based PCI



ID17

see A. Bravin's talk  
Medical Imaging

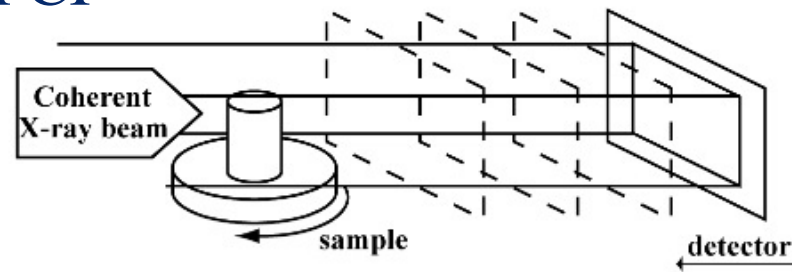
## Grating-based PCI



BM05

wavefront sensor  
Ch David, F Pfeiffer, SLS  
T Weitkamp, Anka

## Propagation-based PCI



ID19

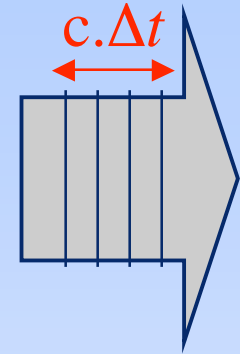
Edge enhancement  
Holotomography

# Coherence

Coherence  
of a beam

Temporal Coherence

correlation in time  $u(t) u(t+\Delta t)$

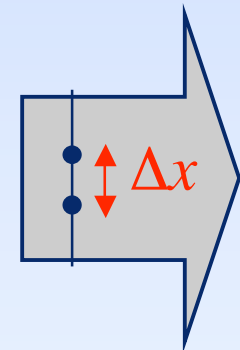


Monochromaticity:  $\Delta\lambda/\lambda$

Longitudinal coherence length:  $\lambda^2 / \Delta\lambda$

Spatial Coherence

correlation in space  $u(x) u(x+\Delta x)$

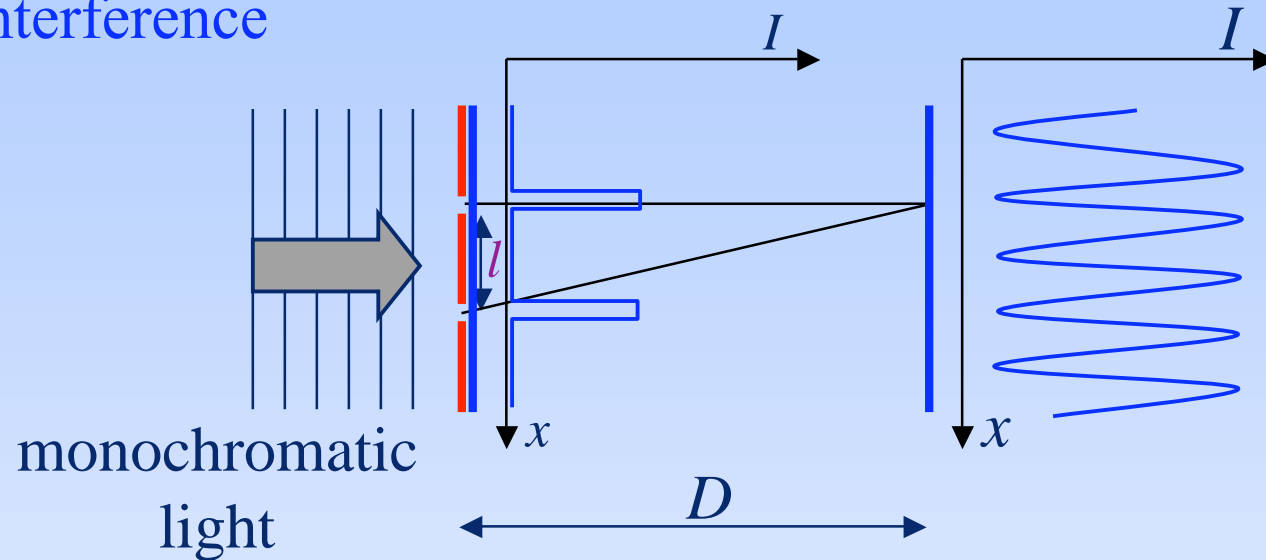


Angular source size:  $\alpha$

Transverse coherence length:  $\lambda / 2\alpha$

# Spatial Coherence

- Interference



condition:  $l$  smaller than *transverse coherence length*

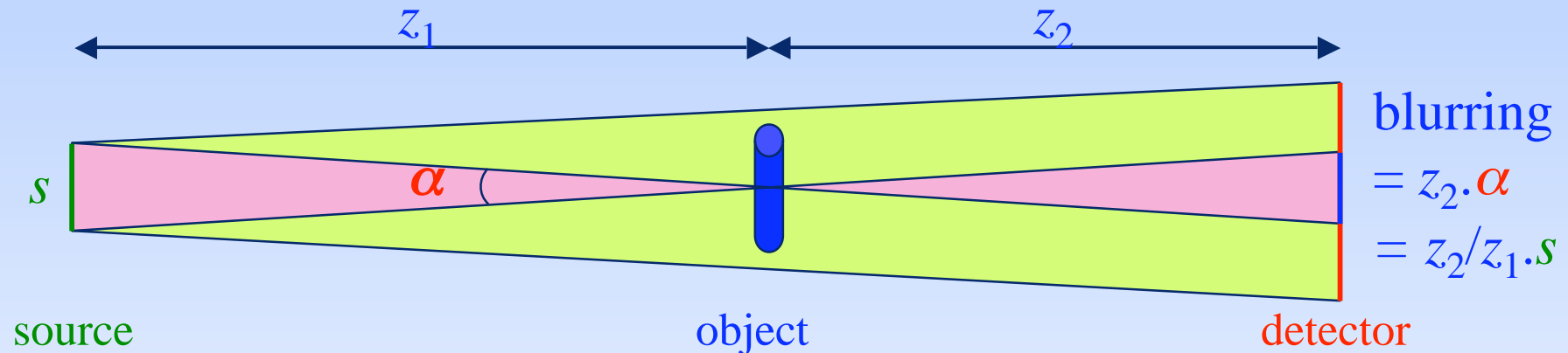
*visibility* is given by the complex degree of coherence  $\gamma(x_1, x_2)$

- Applications with X-rays

- Phase Contrast Imaging / Coherent Diffraction Imaging
- Speckle experiments
- Extreme Focusing

# Spatial Coherence

- Hard X-ray / neutron sources are  $\sim$  incoherent
- Wave is partially coherent when the source is small and far



- Transverse coherence length

$$l_{\text{coh}} = \frac{\lambda}{2\alpha} = \frac{\lambda \cdot z_1}{2s}$$

Laboratory:  $l_{\text{coh}} < 1 \mu\text{m}$

ESRF, ID19:  $s = 25 \mu\text{m}$ ,  $z_1 = 145 \text{ m}$

$\alpha < 0.2 \mu\text{rad}$

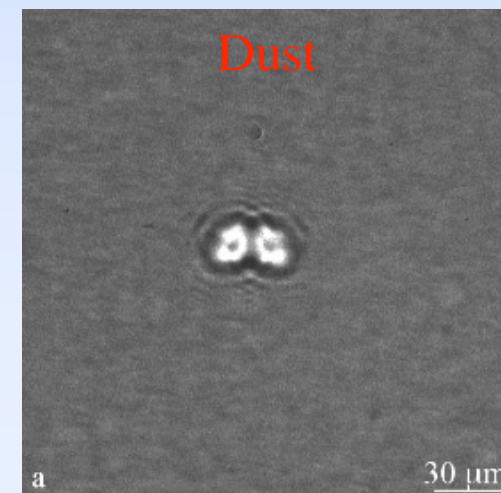
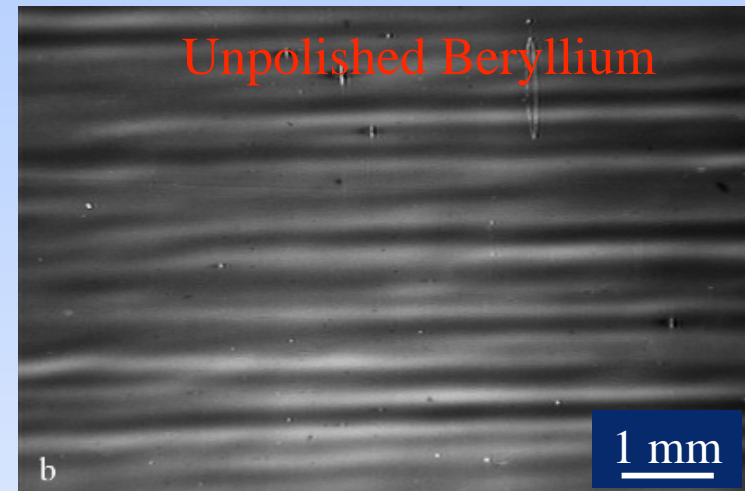
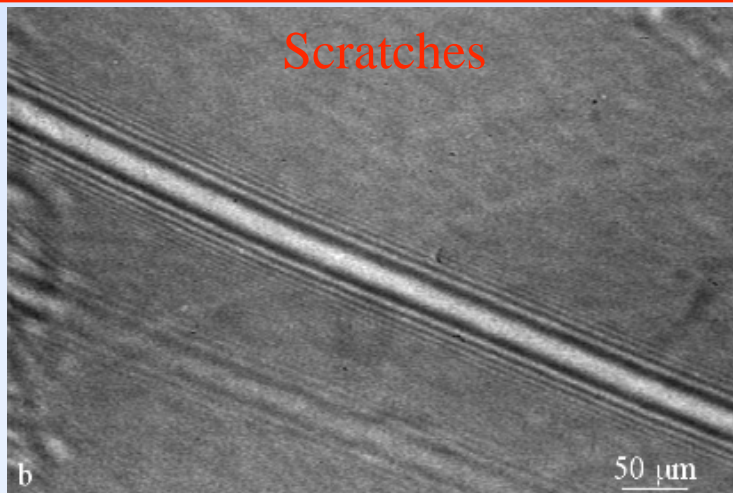
$l_{\text{coh}} \sim 250 \mu\text{m}$

# Image Degradation

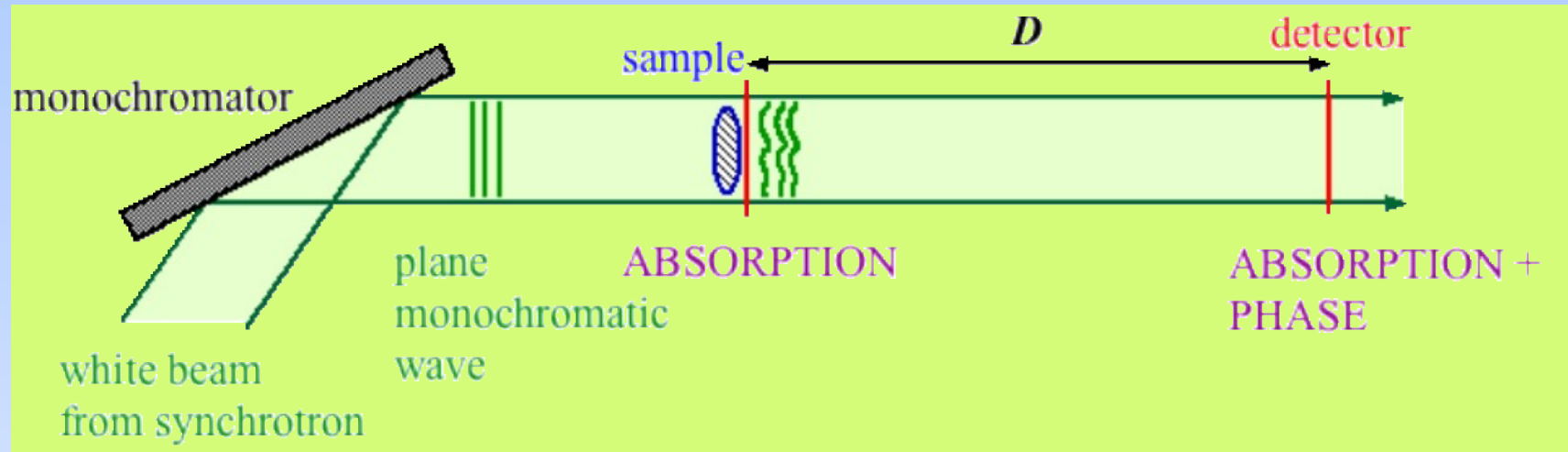
Everything in the beam can act as a phase object

- Stringent requirements on beamline optics:  
Polished windows,  
Monochromator surface, ...  
Dust!

no thickness variations (surface)  
no density fluctuations (volume)



# Simple Propagation

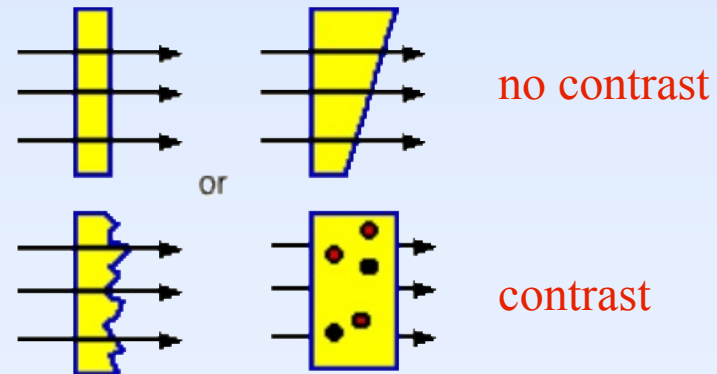


- Fresnel diffraction over a distance  $D$  (few mm to 1 m)

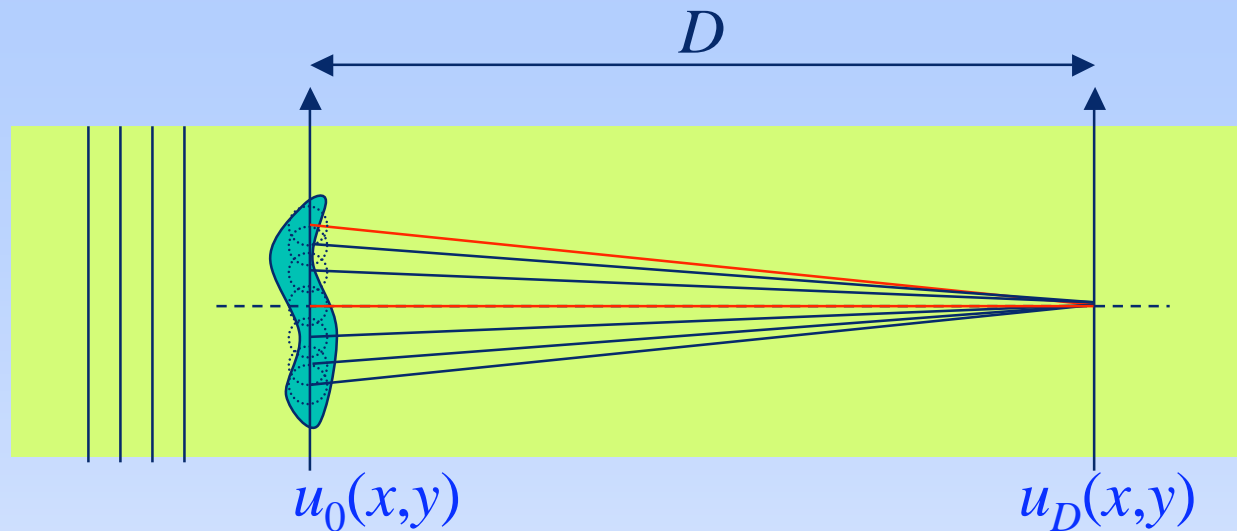
Defocusing in electron microscopy

In-line Gabor holography

- At least  $\frac{d^2\varphi}{d^2x} \neq 0$



# Fresnel diffraction



- *In principle:* complete object contributes to a point of the image

*In practice:* only finite region: first Fresnel zone

radius

$$r_F = \sqrt{\lambda D}$$

- First Fresnel zone determines the sensed lengthscale

Distance to be most sensitive to object with size  $a$ :

$$D = \frac{a^2}{2\lambda}$$

For example at  $\lambda = 0.5 \text{ \AA}$  (25 keV)

$$a = 1 \text{ } \mu\text{m} \quad \Rightarrow \quad D = 10 \text{ mm}$$

$$a = 40 \text{ } \mu\text{m} \quad \Rightarrow \quad D = 16 \text{ m}$$



# Contrast Transfer Functions

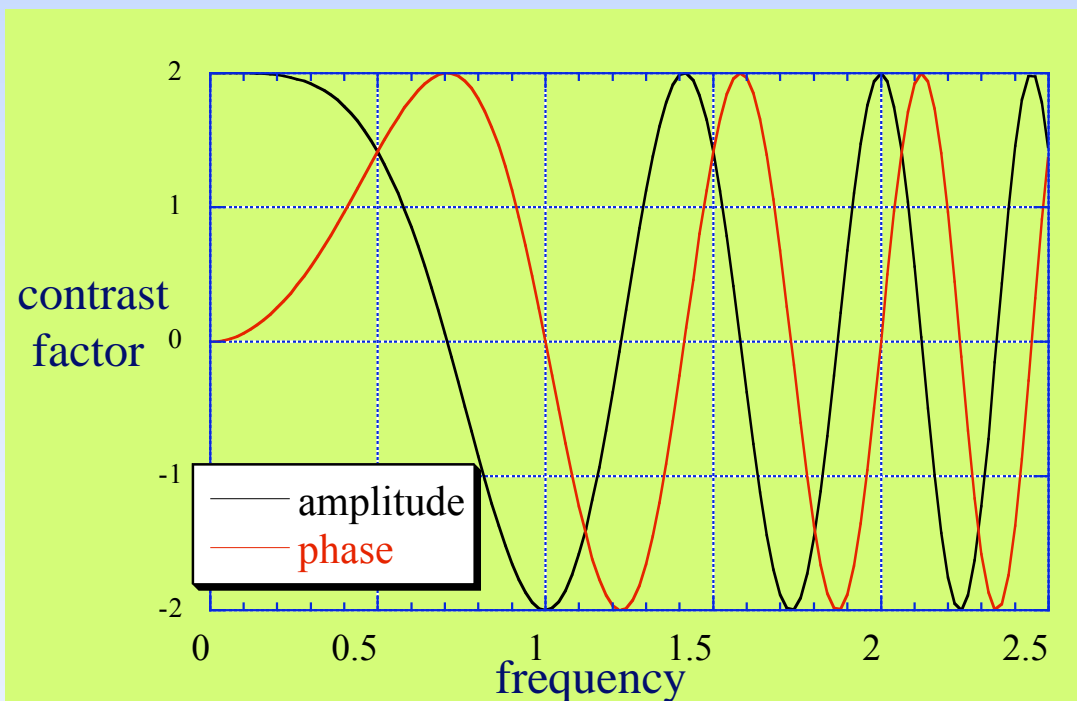
- Fourier Transform of intensity and of phase are linearly related

$$I_D(f) = \delta_D(f) + \underbrace{R_D(f)}_{\text{coherence \& detector}} \cdot \underbrace{2 \sin(\lambda D f^2)}_{\text{phase contrast factor}} \cdot \varphi(f)$$

coherence  
& detector

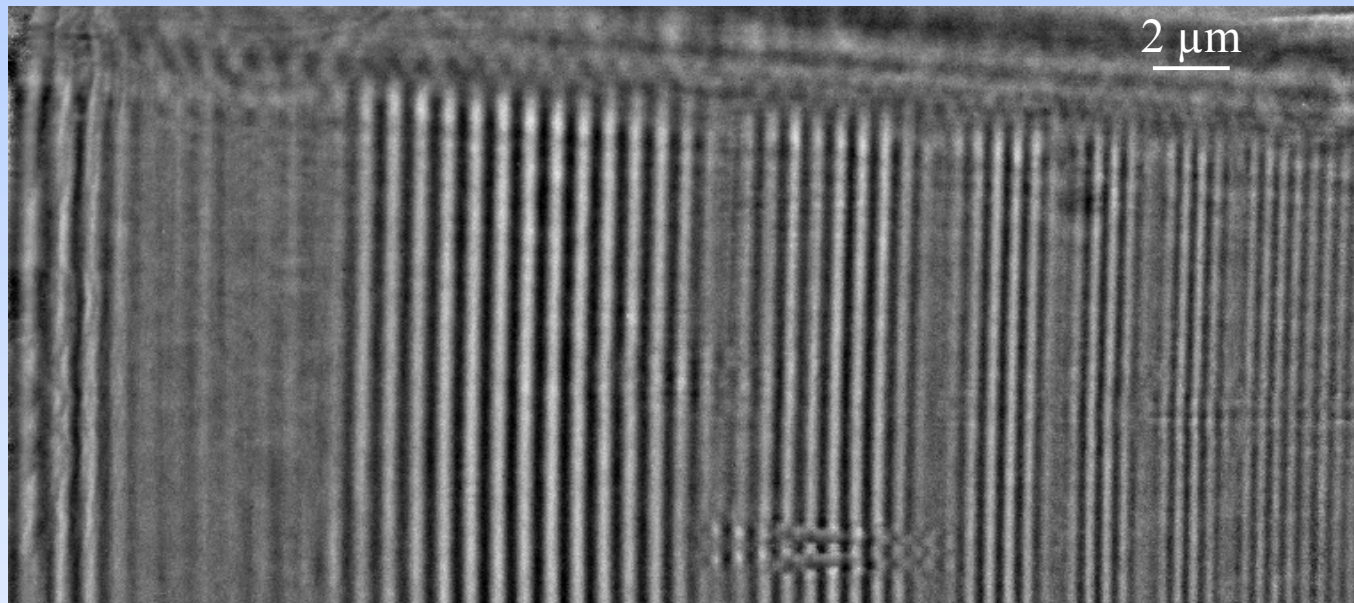
phase contrast factor

valid in case of a slowly varying phase



# Variable period

Decreasing linewidth  
Increasing spatial frequency



period " 720 nm

period " 530 nm

period " 610 nm

Object invisible !

Contrast depends strongly on period or spatial frequency

Obtained with KB-mirrors

# Simulation

Known Object  $\Rightarrow$  Image

Direct Space

Reciprocal Space

OBJECT

multiplication wave with  
transmission function

$$T = Ae^{i\varphi}$$

convolution

PROPAGATION

diffraction integral

multiplication FT wave with  
propagator

$$P_D = \exp(-i\pi\lambda Df^2)$$

INTENSITY

$$I_D^{\text{coh}}(x, y) = |u(x, y)|^2$$

auto-correlation

COHERENCE / DETECTOR

convolution intensity with  
projected source  
PSF detector

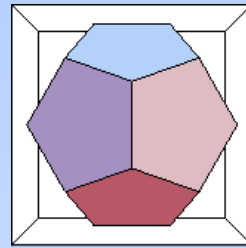
multiplication FT intensity with  
degree of coherence  
detector transfer function

$$\gamma(\lambda Df)$$

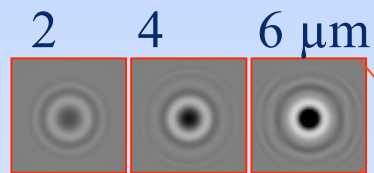
$$R(f)$$

# Simulation Known Object $\Rightarrow$ Image

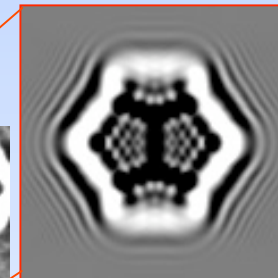
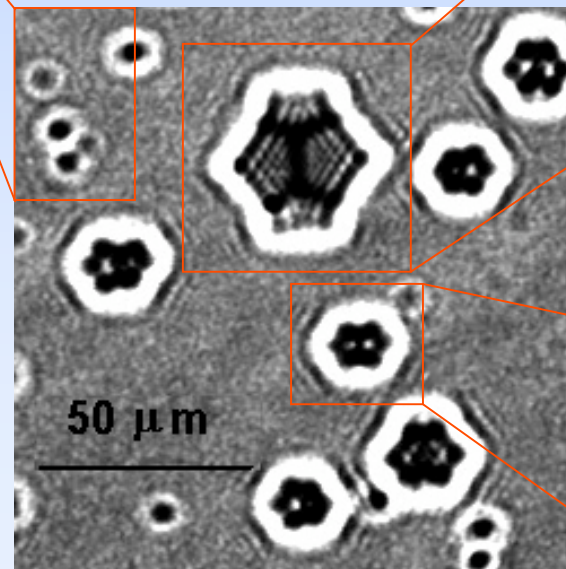
## Porosity in Quasi-crystals



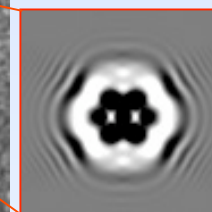
Hole =  
dodecahedron seen  
along the 2-fold axis



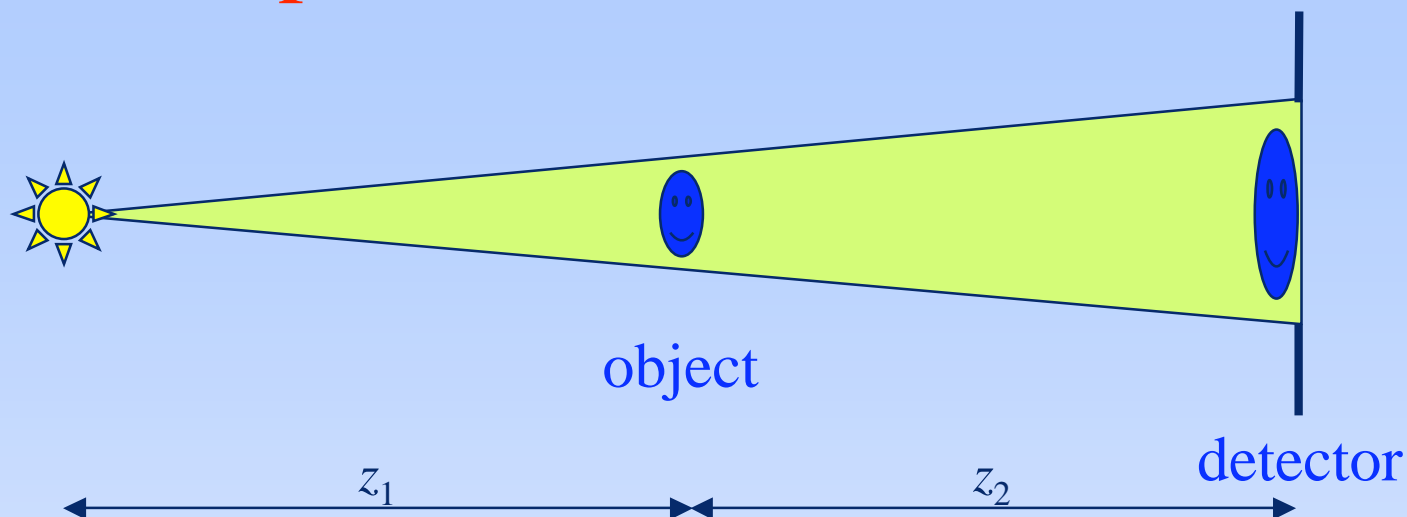
$\lambda = 0.52 \text{ \AA}$   
 $D = 40\text{cm}$



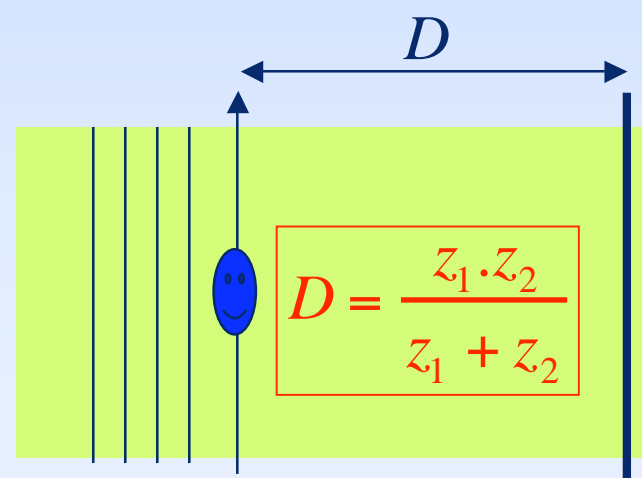
Simulations



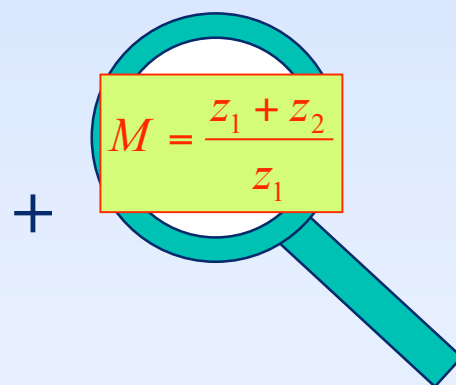
# Spherical wave illumination



equivalent to



Plane wave illumination



Magnification

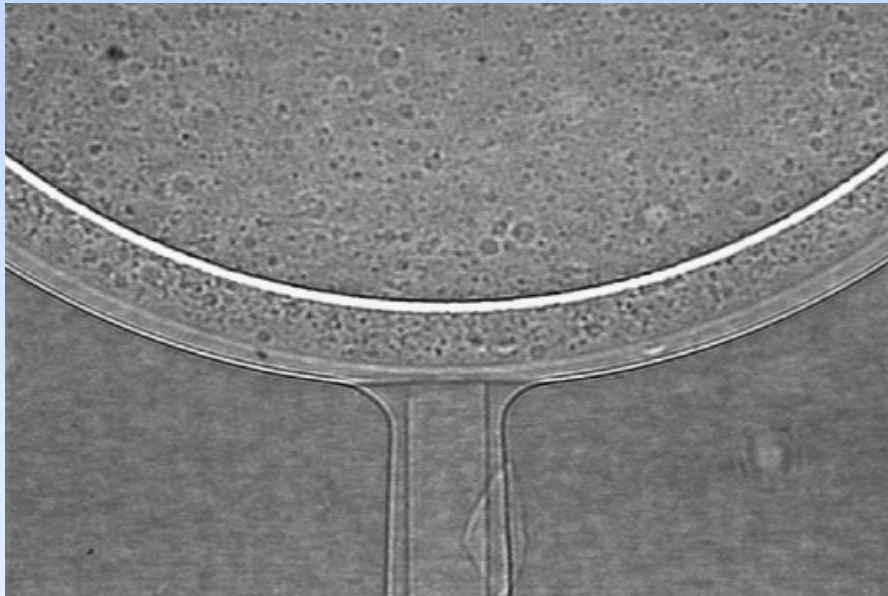
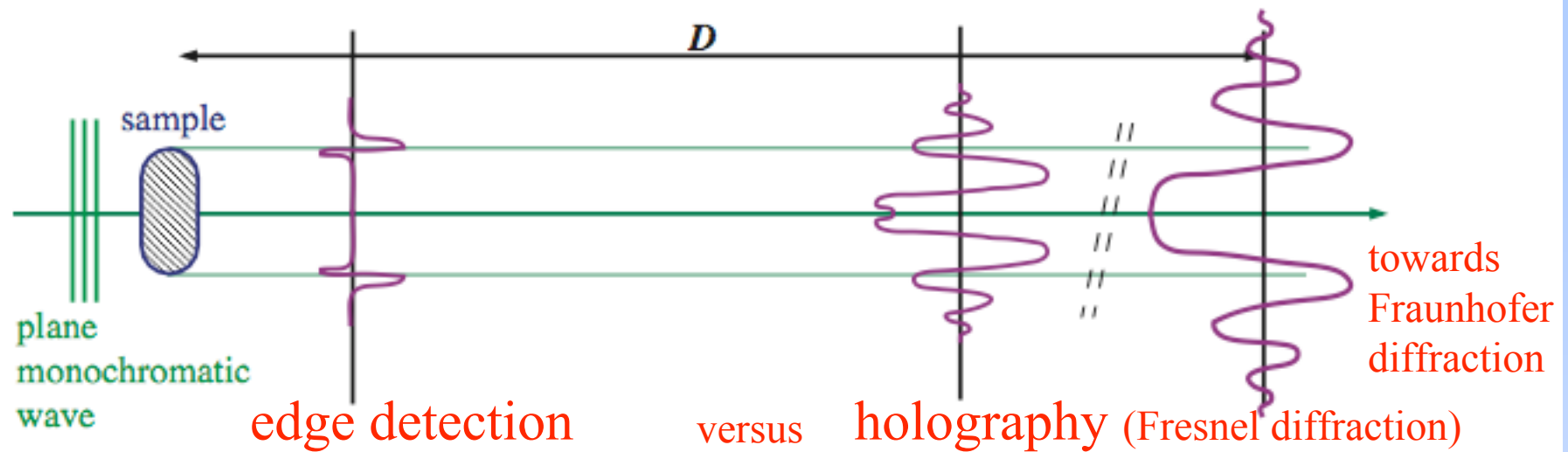
Limit cases

$$z_1 \gg z_2$$

$$D = z_2 ; M = 1$$

$$z_1 \ll z_2$$

$$D = z_1 ; M = z_2/z_1$$

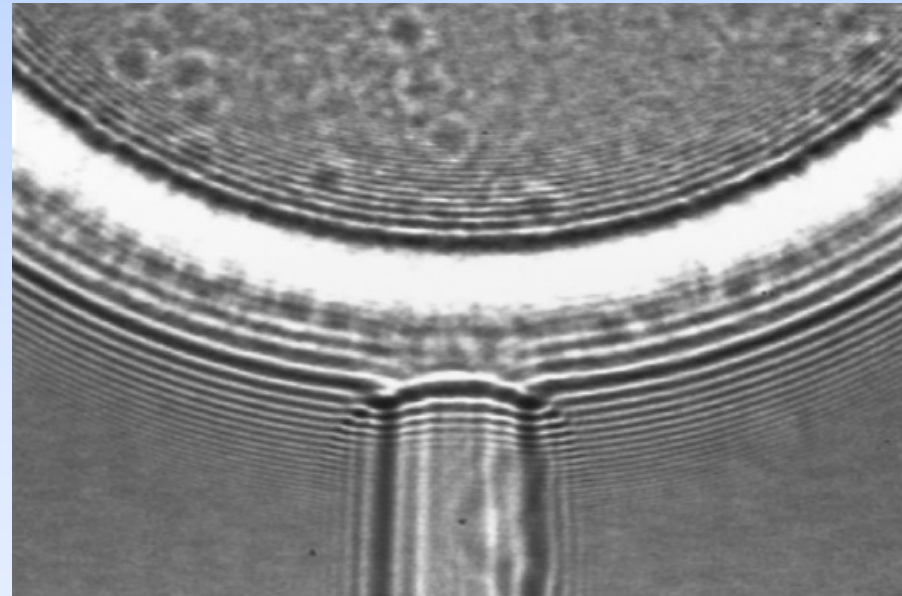


$D = 15 \text{ cm}$

each **edge** imaged independently  
no access to phase, only to **border**

$$\sqrt{\lambda D} \ll a$$

$\lambda = 0.7 \text{ \AA}$   
 $50 \text{ }\mu\text{m}$



$D = 310 \text{ cm}$

**deformed image** of whole object  
access to **phase**, if recorded at  $\neq D$ 's

$$\sqrt{\lambda D} \approx a$$

# Edge Detection

- Essentially edge enhancement  
Weak defocusing (and weak contrast!)

$$\sqrt{\lambda D} \ll a$$

- Radiography (2D)

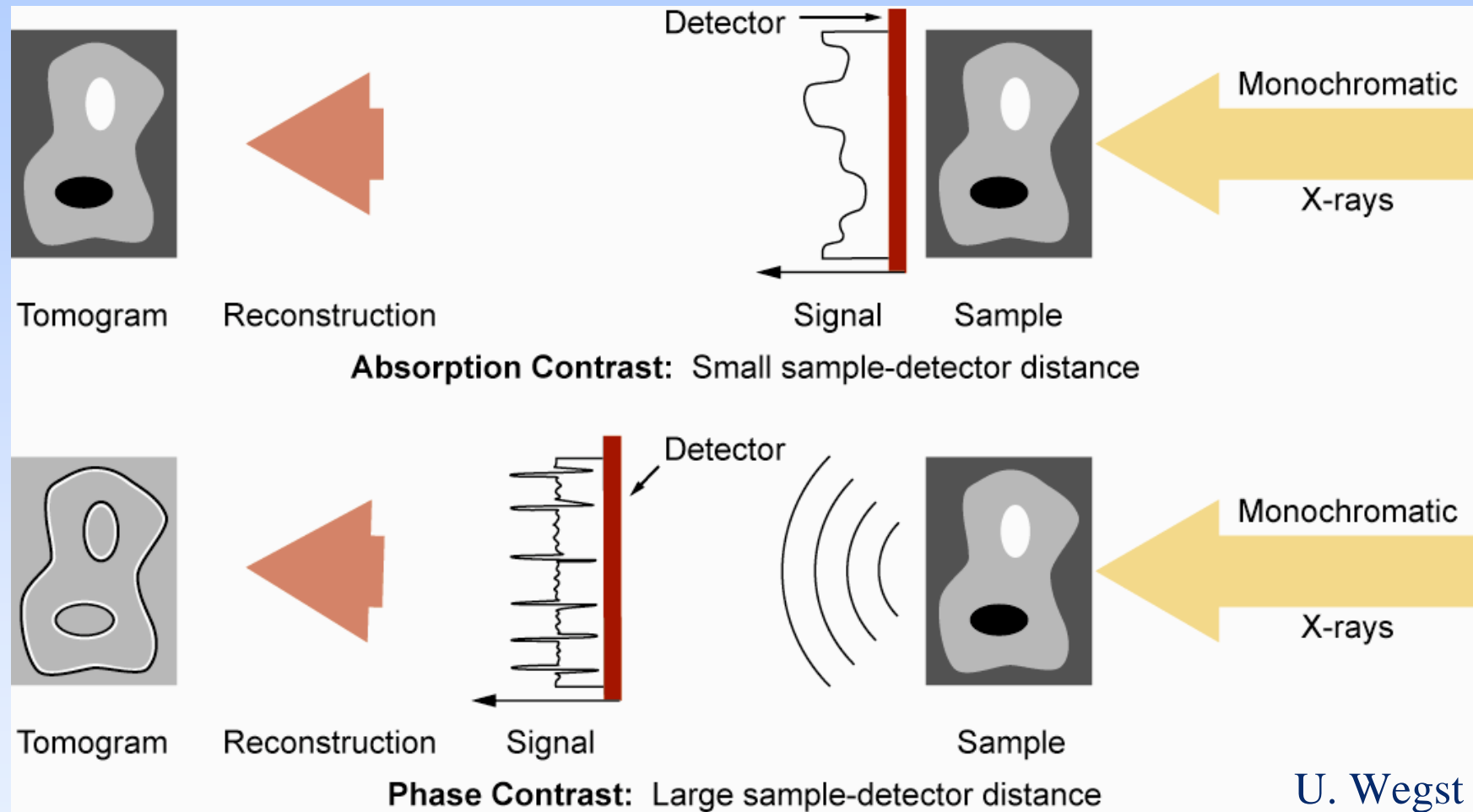
$$I_D(x, y) \approx \underbrace{I_0(x, y)}_{\text{absorption image}} \cdot \underbrace{\left[ 1 - \frac{\lambda D}{2\pi} \Delta_{xy} \varphi(x, y) \right]}_{\substack{\text{phase term} \\ \text{2D Laplacian phase}}}$$

- Tomography (3D)

$$o(x, y, z) \approx \underbrace{\mu(x, y, z)}_{\text{absorption term}} - \underbrace{D \Delta_{xyz} \delta(x, y, z)}_{\substack{\text{phase term} \\ \text{Laplacian refractive index}}}$$

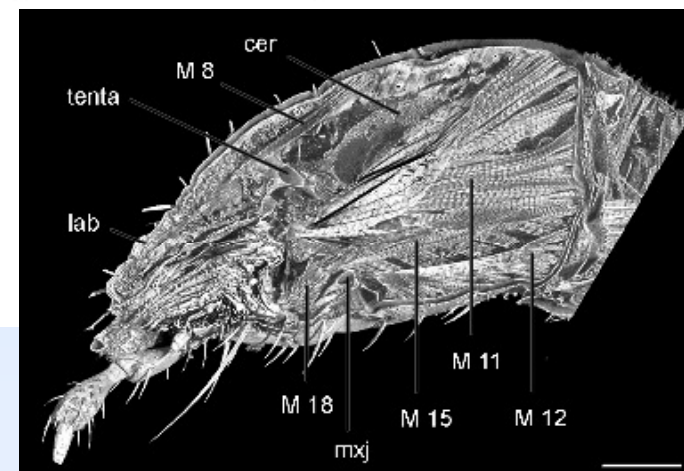
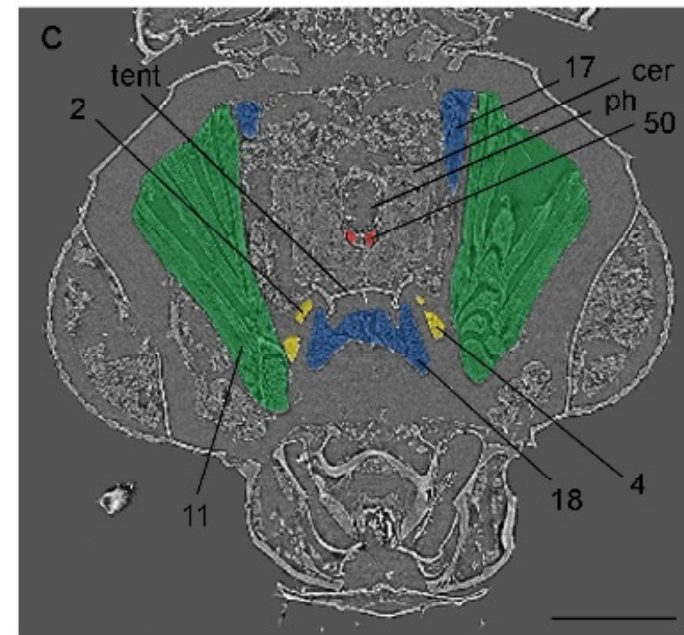
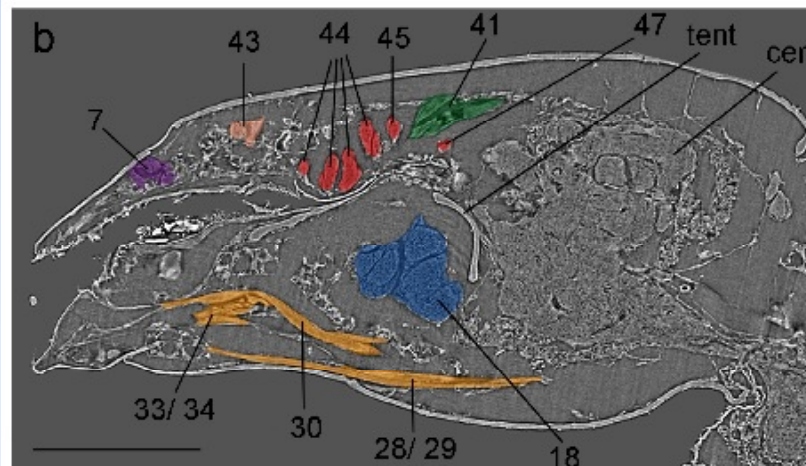
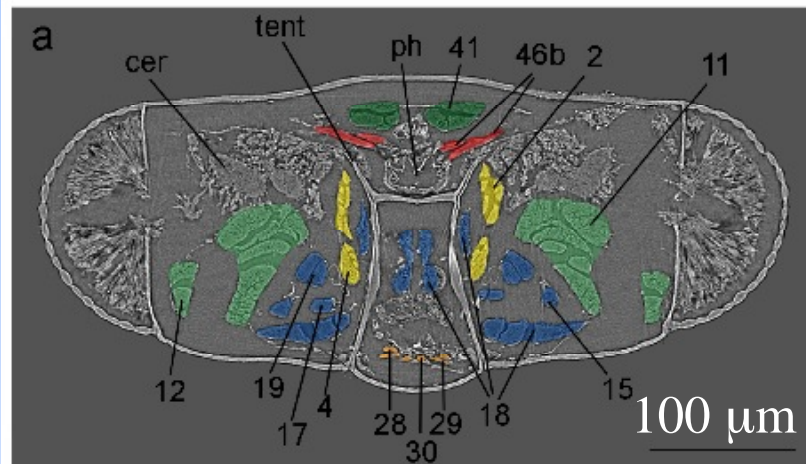
- Detection of cracks  
holes  
reinforcing fibres, particles

# Edge Detection





# Insect Anatomy



Virtual slices through heads of  
tiny staphylinid beetles

# Phase Retrieval

- How to retrieve the phase and amplitude in the object plane?
- Phase in image plane is lost

Image(s)  $\Rightarrow$  Object ???  
Inverse Problem

*Australian School:* K. Nugent, T. Gureyev, D. Paganin  
TIE (transport of intensity)

$$\frac{\partial I}{\partial z} = -\frac{\lambda}{2\pi} \Delta_{xy} \varphi$$

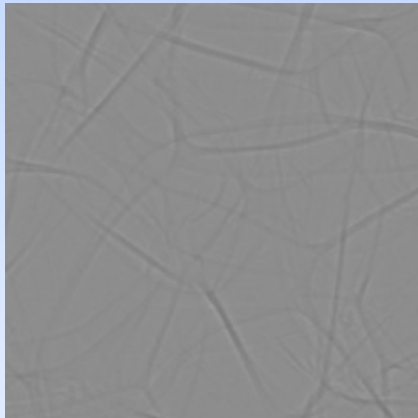
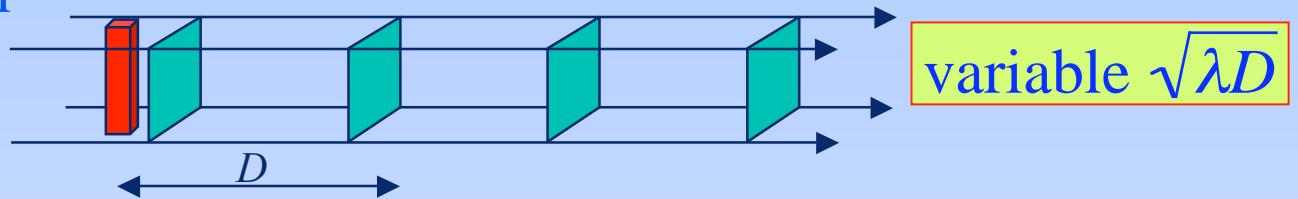
*Flemish School:* D. Van Dyck, P. Cloetens, JP Guigay  
Focus variation method

- Series of images recorded at different distances
- Each distance is most sensitive to a specific range of spatial frequencies

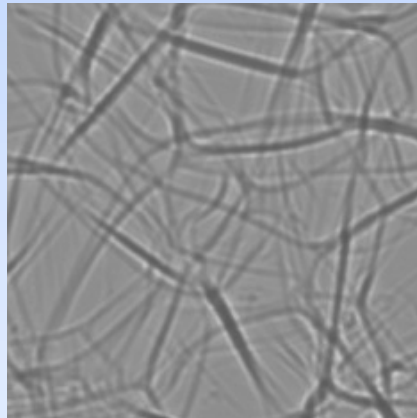


# Phase Retrieval: Polystyrene Foam

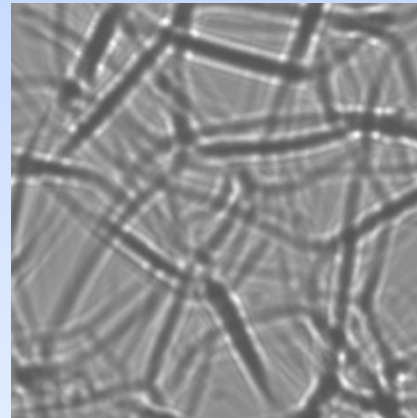
- non-absorbing foam
- 4 images recorded
- $E = 18 \text{ keV}$



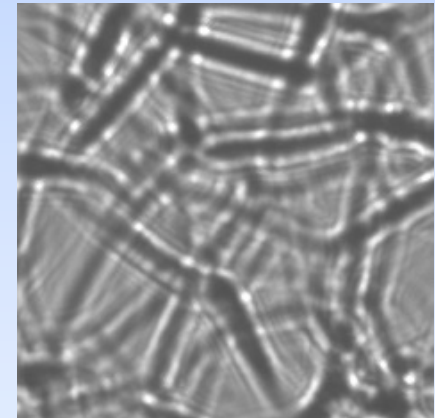
$D = 0.03 \text{ m}$



$D = 0.21 \text{ m}$



$D = 0.51 \text{ m}$

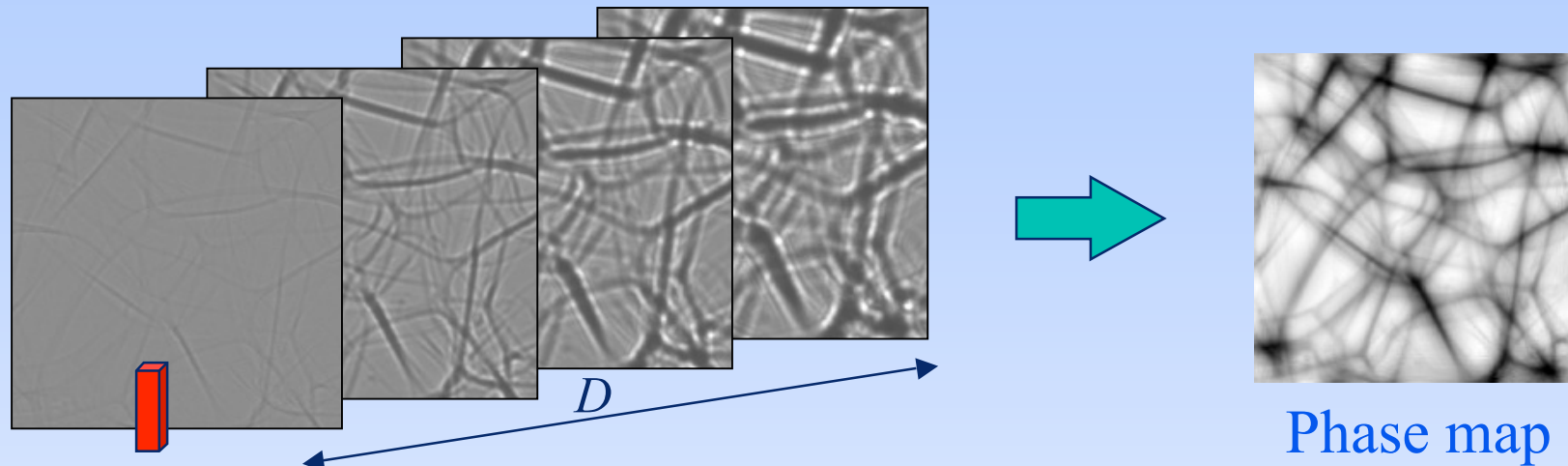


$D = 0.90 \text{ m}$

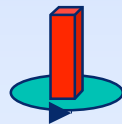
50  $\mu\text{m}$

# Holo-tomography

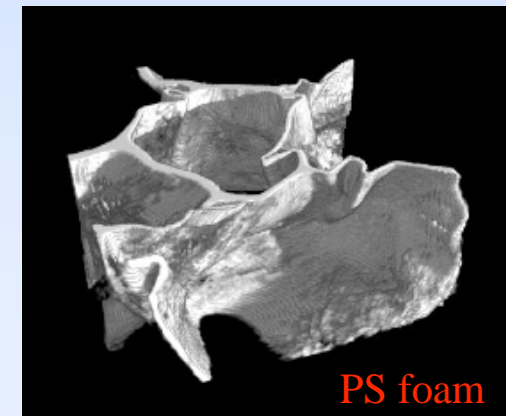
1) **phase retrieval** with images at different distances



2) **tomography**: repeated for  $\approx 1000$  angular positions

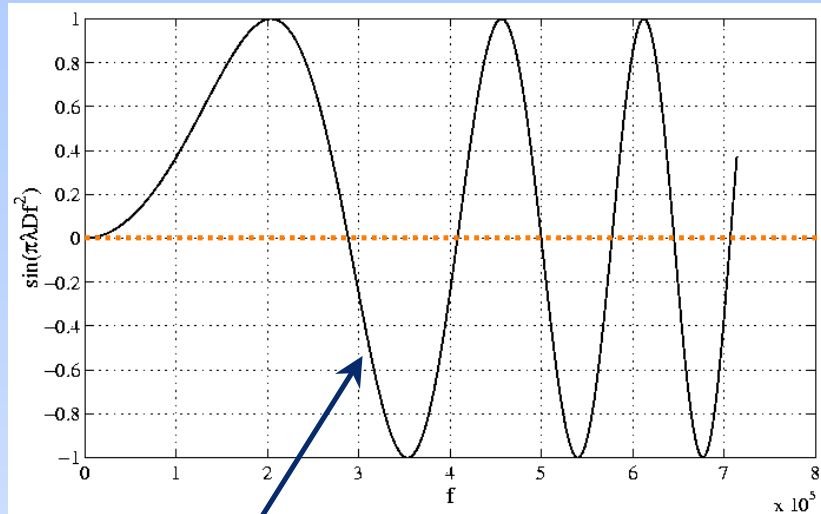


3D distribution of  $\delta$  or the electron-density  
improved resolution  
straightforward interpretation  
processing





# Phase retrieval



“transfer function”

$$\sin(\pi\lambda D f^2)$$

⇒ Optimization  
of the choice of distances

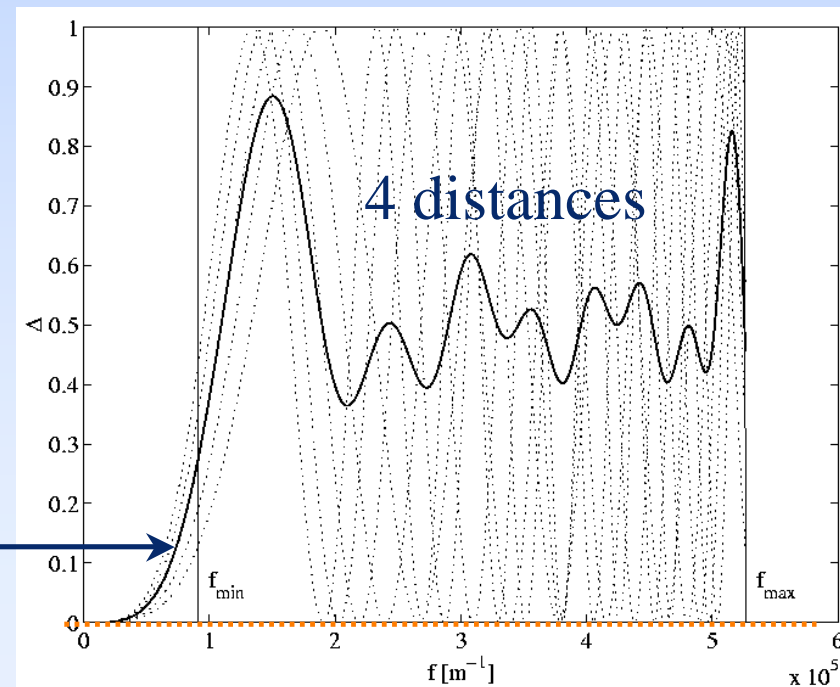
$$\frac{1}{N} \sum_{m=1}^N 2 \sin^2(\pi\lambda D_m f^2)$$

$$\tilde{I}_D(f) = 2 \sin(\pi\lambda D f^2) \cdot \tilde{\varphi}(f)$$



Linear least squares

$$\tilde{\varphi}(f) = \frac{\sum_m \sin(\pi\lambda D_m f^2) \cdot \tilde{I}_m(f)}{\sum_m 2 \sin^2(\pi\lambda D_m f^2)}$$



Non-iterative (fast!)

# Phase Tomography of Arabidopsis seeds

3D structure of Arabidopsis seeds in their **native state**

- wet sample, **no preparation**
- no staining, no fixation, no cutting, no cryo-cooling



Holotomographic approach

Contrast proportional to the electron density

4 distances, 800 angles

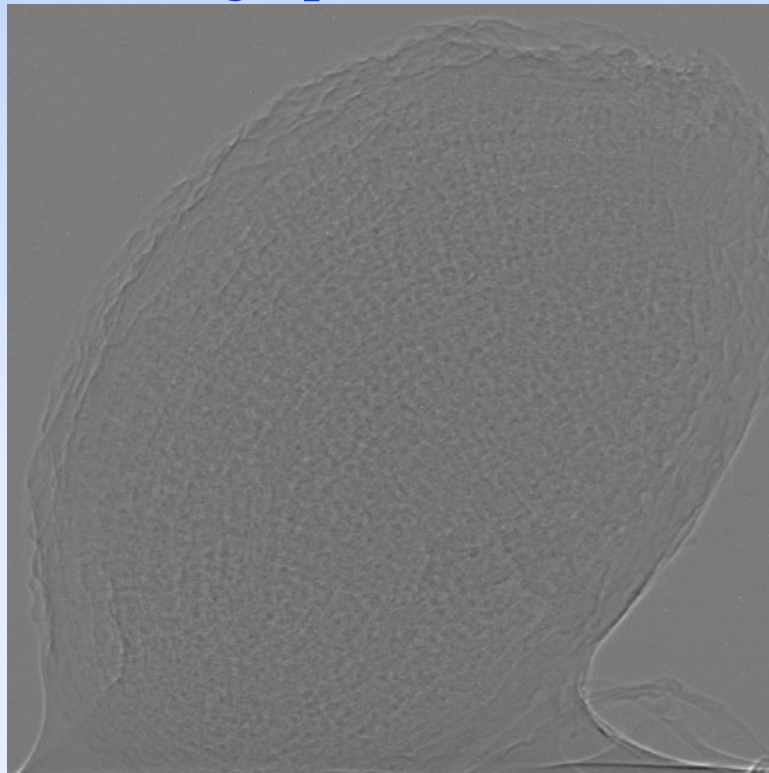
$E = 21 \text{ keV}$

# Phase Tomography of Arabidopsis

In situ 3D imaging of a seed of an Arabidopsis plant

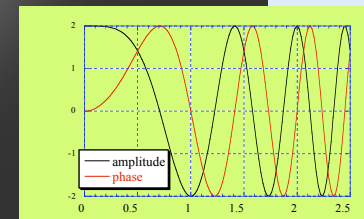
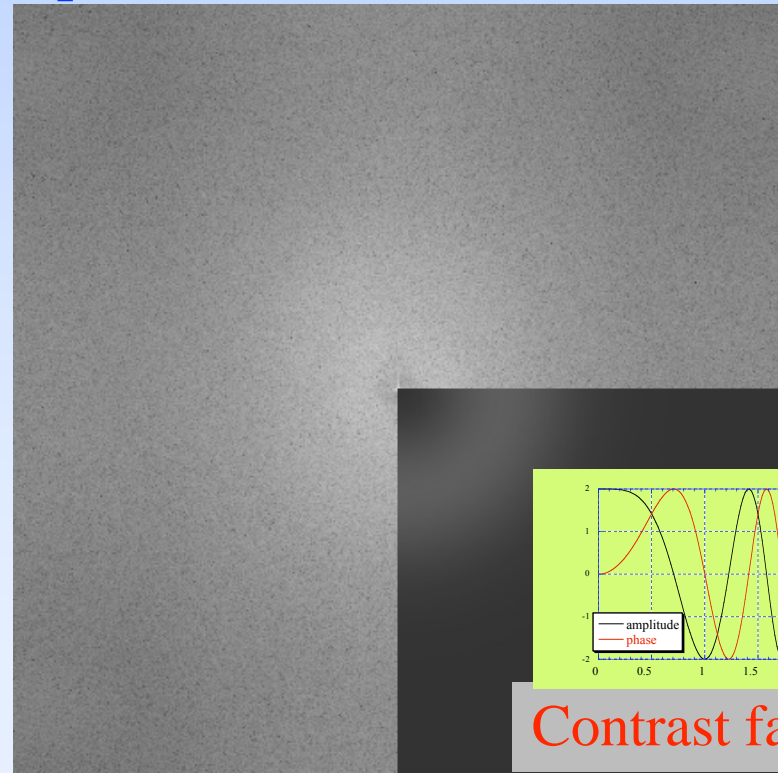
↳ wet sample, no preparation

Radiograph  $D = 10$  mm



50  $\mu$ m

Spectrum – Fourier transform



Contrast factor

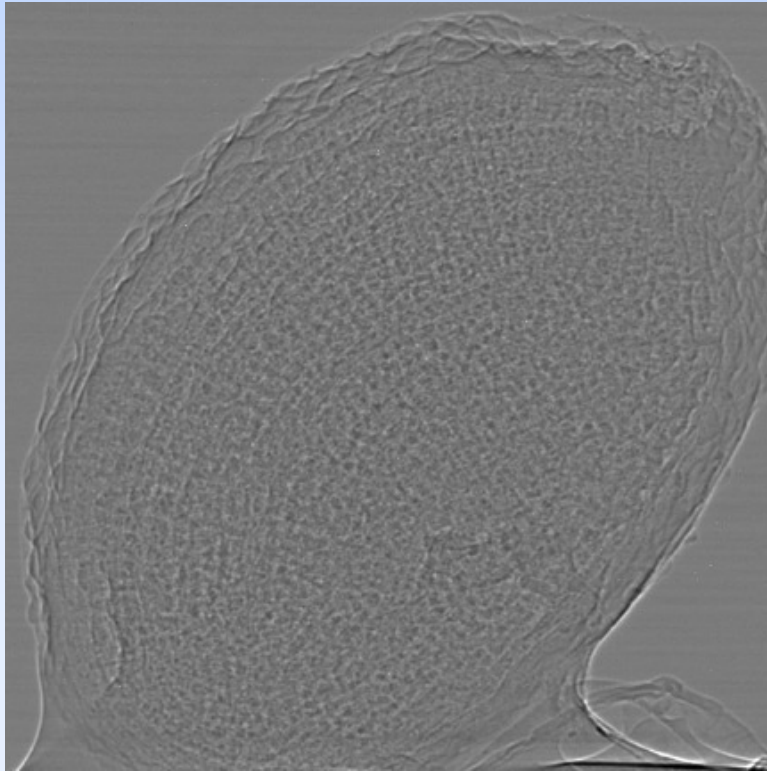
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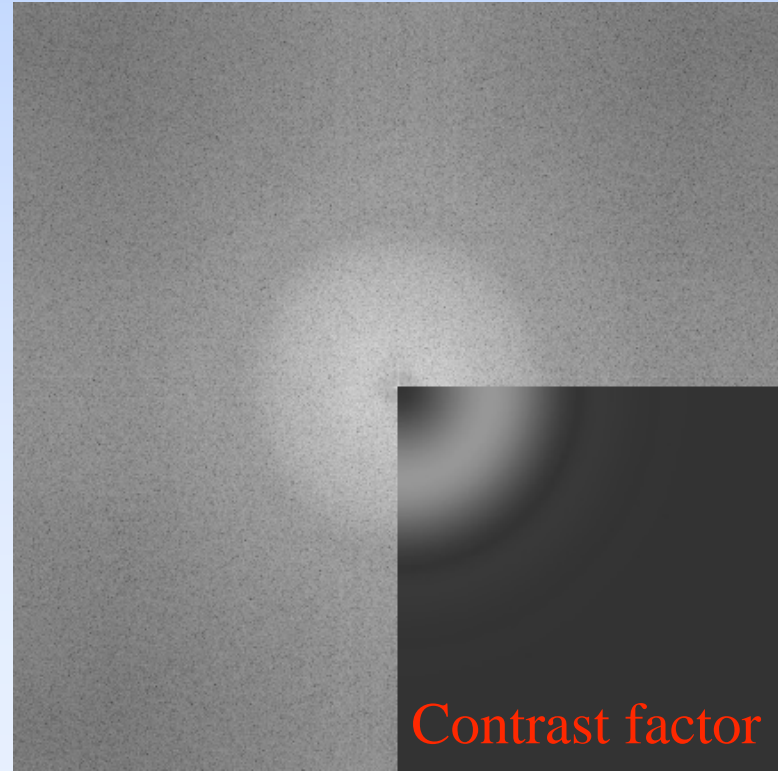


wet sample, no preparation

Radiograph  $D = 30$  mm



Spectrum



Contrast factor

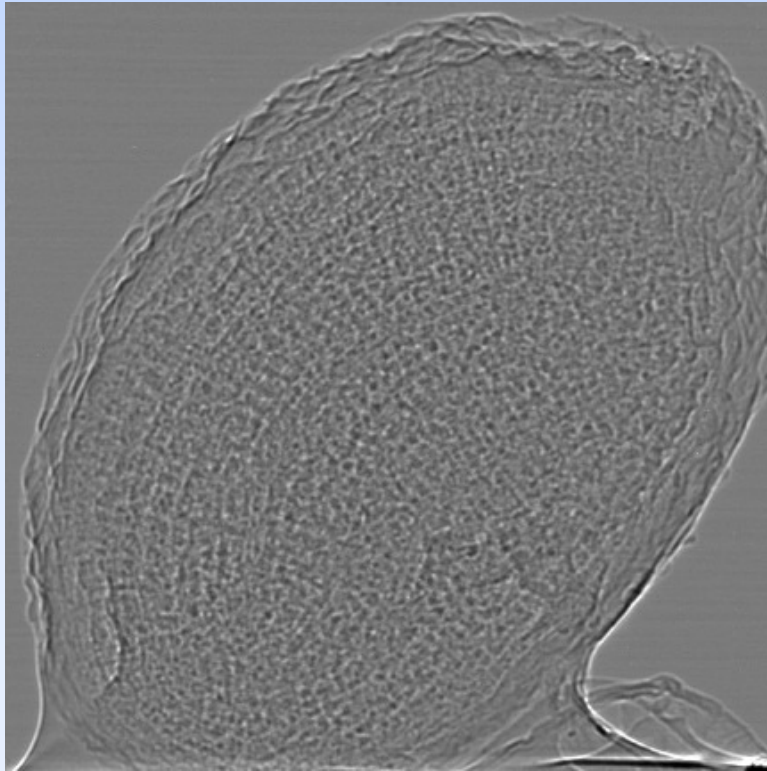


# Phase Tomography of Arabidopsis

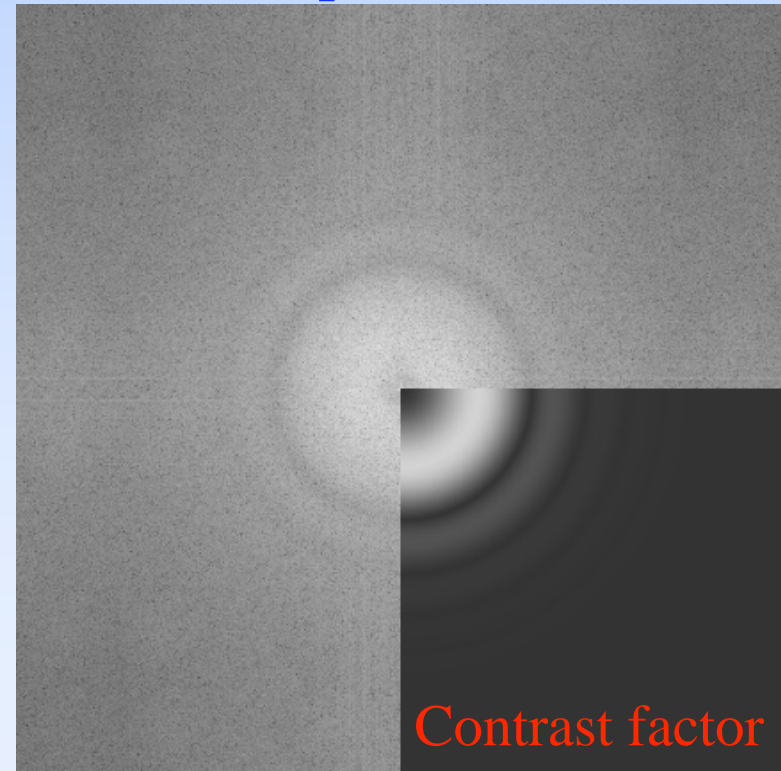
In situ 3D imaging of a seed of an Arabidopsis plant

↳ wet sample, no preparation

Radiograph  $D = 60$  mm



Spectrum



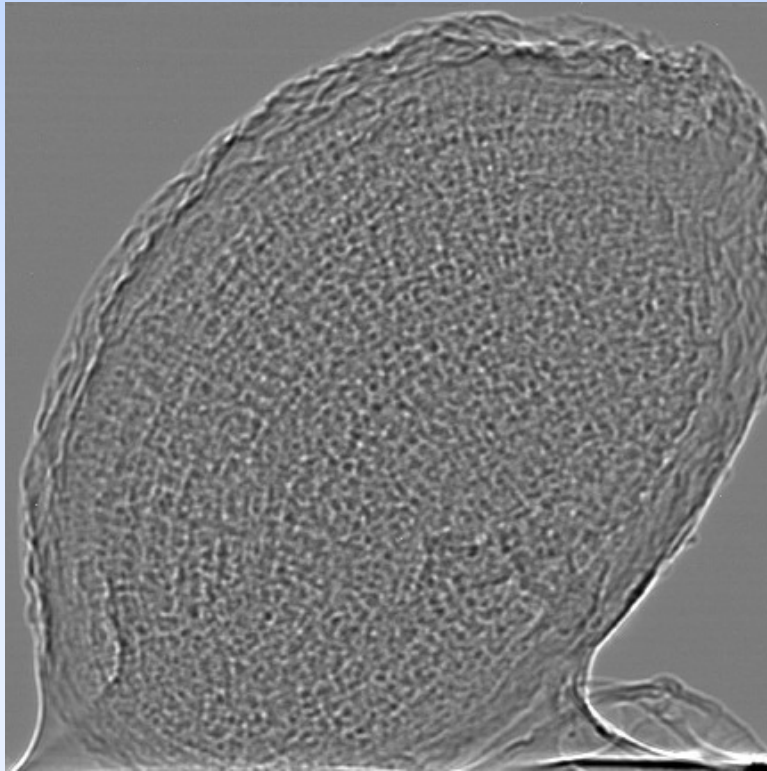
# Phase Tomography of Arabidopsis

In situ 3D imaging of a seed of an Arabidopsis plant

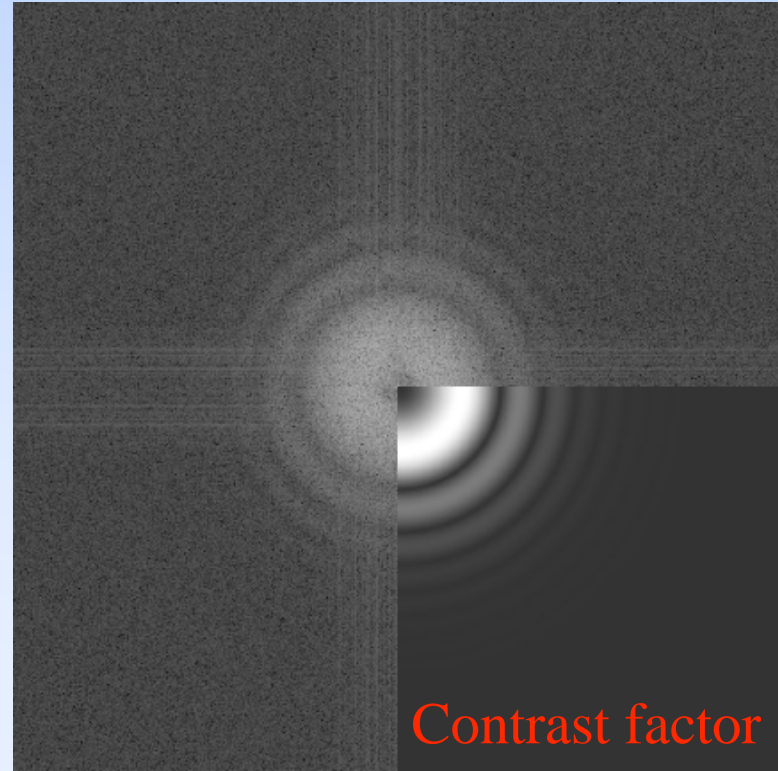


wet sample, no preparation

Radiograph  $D = 100$  mm



Spectrum



# Phase Tomography of Arabidopsis

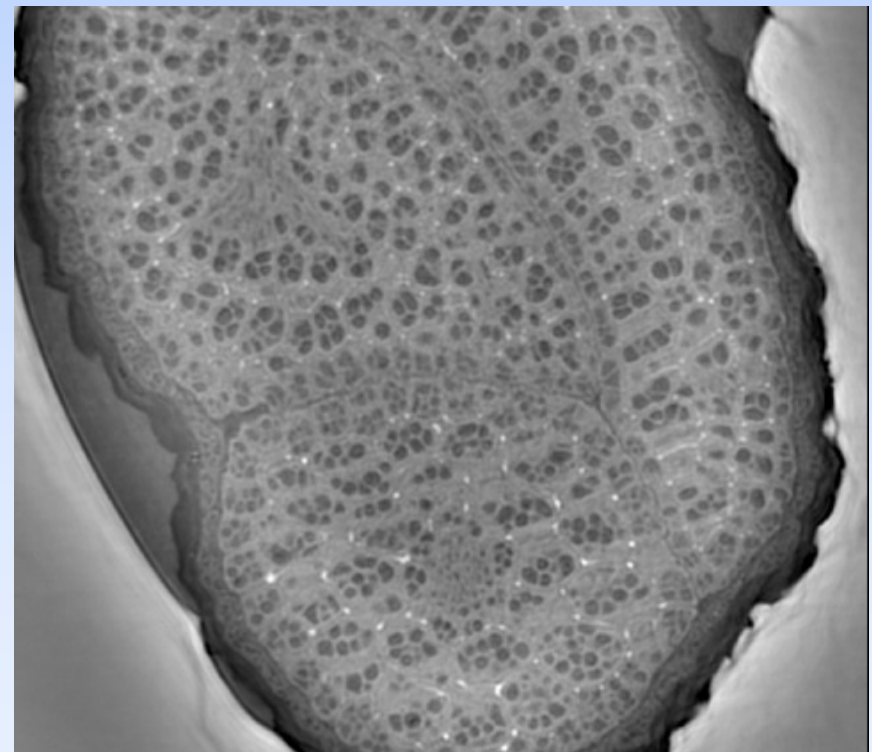
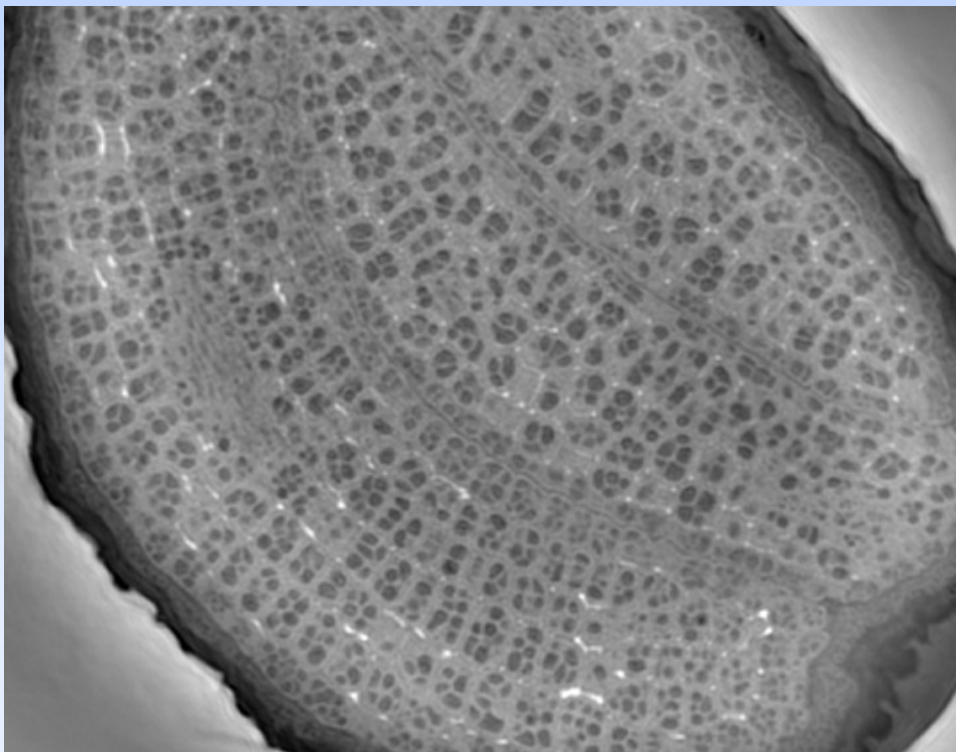
Holotomographic approach

Seed of Arabidopsis

Four distances

$E = 21 \text{ keV}$

Tomographic Slices



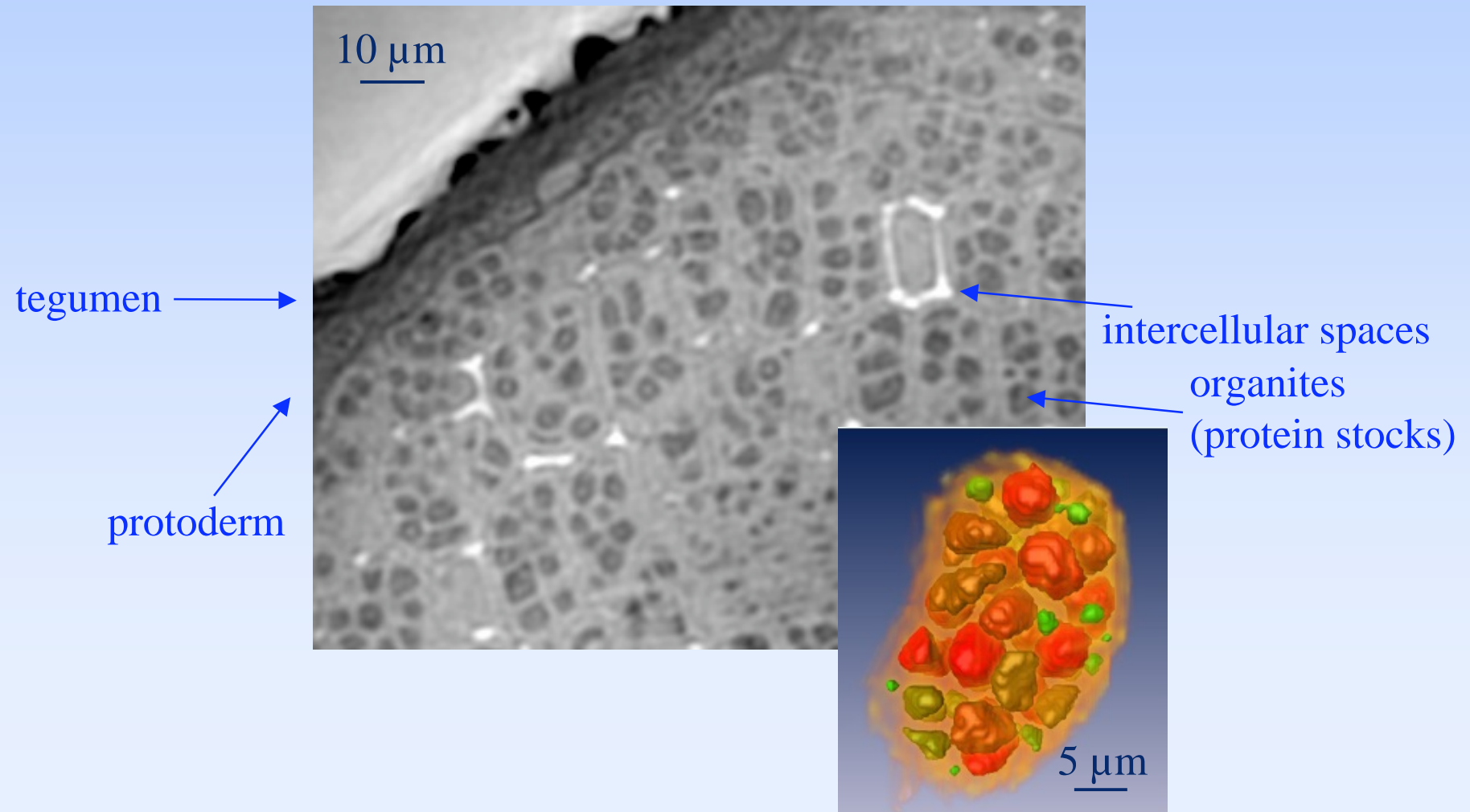
30  $\mu\text{m}$

Cotyledon

# Phase Tomography of Arabidopsis

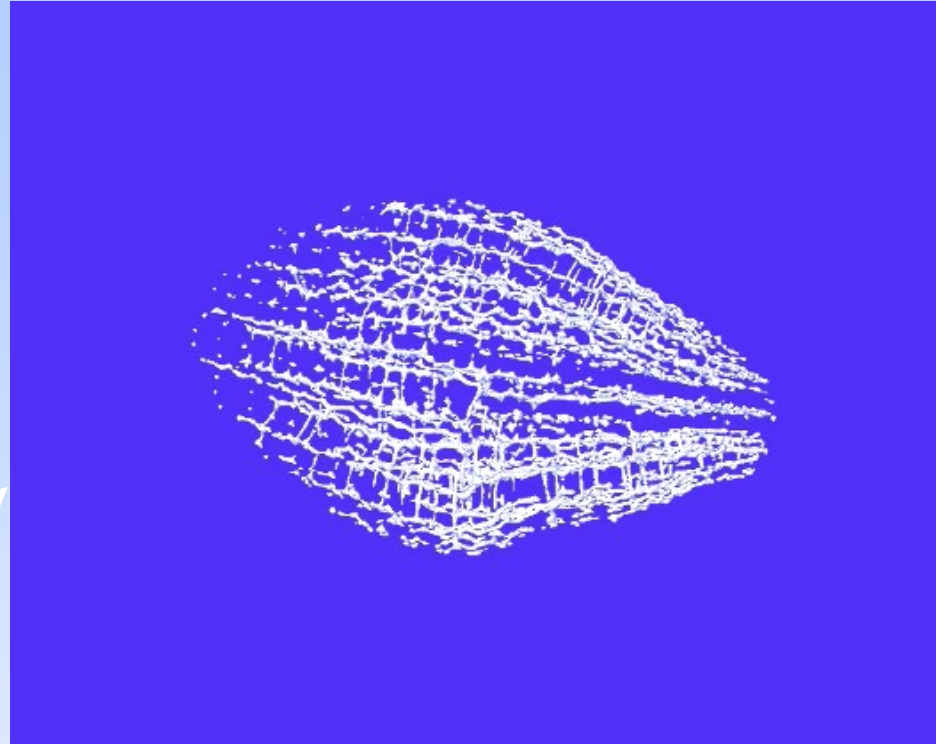
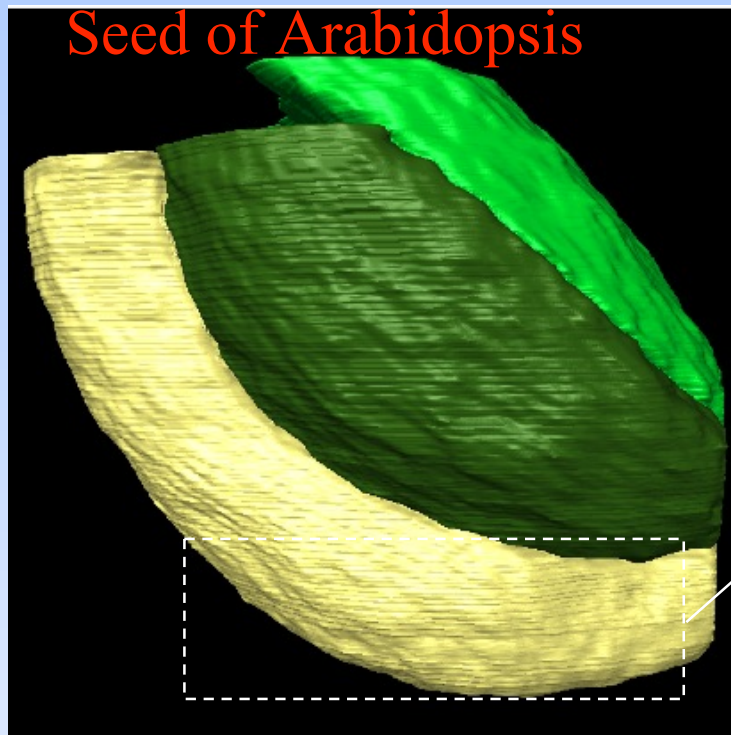
## Seed of Arabidopsis

### Tomographic Slice





# Phase Tomography of Arabidopsis



**three-dimensional network  
of intercellular air space**

Role? gas exchange during germination  
and/or  
rapid water uptake during imbibition

P Cloetens, R Mache, M Schlenker, S Lerbs-Mache, *PNAS* (2006) 103, 14626

# Conclusions

- Coherent X-rays allow to perform, *in an easy way*, phase (sensitive) imaging through propagation
- The coherence puts stringent requirements on all beamline optics
- Quantitative mapping of the phase (2D) and density (3D) is possible by combining images at different distances with an adapted numerical algorithm
- New topics in Materials Science and Biology can be investigated

# Challenges

- ‘Magnification’ using diffractive or refractive lenses  
projection and a point source  
coherent diffraction imaging
- Phase imaging applied to medical imaging, life sciences
- Phase imaging with neutrons, lab sources (polychromatic)

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